

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 004227 USA02/ETCH/ STL/200/381	
In Re Application Of: David Mui et al.				
Serial No. 09/905,172	Filing Date 7/13/01	Examiner Unassigned	Group Art Unit 1765	
Title: ETCH PATTERN DEFINITION USING A CVD ORGANIC LAYER AS AN ANTI-REFLECTION COATING AND HARDMASK				
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

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APPLIED MATERIALS

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TRANSMITTAL OF INFORMATION AND FEE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		004227 USA02/ETCH /	
In Re Application: David Mui et al		SILICON/581	
Serial No. 09/905,172	Filing Date 7/13/01	Examiner Unassigned	Group Art Unit 1765
ETCH PATTERN DEFINITION USING A CVD ORGANIC LAYER AS AN ANTI-REFLECTION COATING AND HARDMASK			
<p align="center">Payment of Fee</p> <p align="center">(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1074 as described below. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p>			
<p align="center">Certificate of Transmission by Facsimile*</p> <p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on</p> <p>NOV 02 2001</p> <p>(Date) <i>[Signature]</i></p> <p align="center">Signature</p> <p align="center">Susana Antunes</p> <p align="center">Typed or Printed Name of Person Signing Certificate</p>		<p align="center">Certificate of Mailing by First Class Mail</p> <p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p>NOV 02 2001</p> <p align="center"><i>[Signature]</i></p> <p align="center">Signature of Person Mailing Correspondence</p> <p align="center">Susana Antunes</p> <p align="center">Typed or Printed Name of Person Mailing Certificate</p>	
<p>*This certificate may only be used if paying by deposit account.</p> <p><i>[Signature]</i></p> <p align="center">Signature</p> <p align="center">Joseph Bac</p> <p align="center">Reg. # 31,111</p>		<p>Dated: 11/1/01</p>	
<p align="right">COMPUTER ENTERED</p> <p align="right">NOV - 9 2001</p>			

CC:

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Jun 5 2003 12:27 P.03

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Re: 09/905,172	CC:

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Examiner Deo,

Re: 09/905,172

Per your request to David Bonham earlier today, attached please find a copy of the Transmittal and 1 page PTO-1449 that was filed on January 8, 2002.

Marjorie Scariati

Marjorie Scariati
Patent Paralegal